

Investor Protection



Since :1993

Right to Information Advocacy & Research Centre

CONSUMER RIGHTS EDUCATION & AWARENESS TRUST (CREAT)

ಗ್ರಾಹಕರ ಹಕ್ಕು ಶಿಕ್ಷಣ ಮತ್ತು ಜಾಗೃತಿ ವೇದಿಕೆ

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***We care for you
Please check before you invest***



The stock indices are touching new highs on the back of surging volumes. More and more investors are investing/trading in the stock markets than ever before. It is therefore imperative for the investors to follow the Dos and Don't in general while dealing in the stock market as there are attendant risks associated with it.

Given below are the Dos and Don't in general for investors who are dealing in the Stock markets.

Dos

1. Always deal with the market intermediaries registered with SEBI/Exchanges.
2. Give clear and unambiguous instructions to your broker/agent /depository participant.
3. Always insist on contract notes from your Broker. In case of doubt of the transactions, verify the genuineness of the same on the Exchange website.
4. Always settle the dues through the normal banking channels with the market intermediaries.
5. Before placing an order with the market intermediaries please check about the credentials of the companies, its management, its fundamentals and recent announcements made by them and various other disclosures made under various Regulations. The sources of information are the websites of the Exchanges and companies, databases of data vendor, business magazines etc.
6. Adopt trading/investment strategies commensurate with your Risk bearing capacity as all investments carry risk, the degree of which varies according to the investment strategy adopted.
7. Please carry out due-diligence before registering as client with any Intermediary. Further, the investors are requested to carefully read and understand the contents stated in the Risk Disclosure Document, which forms part of the investor registration requirement for dealing through brokers in Stock Market.
8. Be cautious about stocks, which show a sudden spurt in price or trading activity, especially low priced stocks.
9. Please be informed that there are no guaranteed returns on investment in stock markets,

Don'ts

1. Don't deal with unregistered brokers/sub-brokers, intermediaries.
2. Don't deal based on rumours generally called 'tips'.
3. Don't fall prey to promises of guaranteed returns.
4. Don't get misled by companies showing approvals/ registrations from Government agencies as the approvals could be for certain other purposes and not for the securities you are buying.
5. Don't leave the custody of your Demat Transaction slip book in the hands of any intermediary.
6. Don't get carried away with onslaught of advertisements about the financial performance of companies in print and electronic media.
7. Don't blindly follow media reports on corporate developments, as they could be misleading.
8. Don't blindly imitate investment decisions of others who may have profited from their investment decisions.

For the benefit of investors, the Exchange has installed a Toll free line 1600 22 6663, wherein they can inform on any specific lead with regard to any type of undesirable trading practices in any scrip or any type of market aberration observed by them. Investors are hereby requested to get their messages recorded in English or Hindi. Identify of the investor will be kept confidential.